

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Beth E. Borowsky, et al.  
Serial No. : 09/980,145  
Filed : November 28, 2001  
For : DNA ENCODING SNORF33 RECEPTOR

1185 Avenue of the Americas  
New York, New York 10036  
April 6, 2004

Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In order to ensure compliance with applicant's duty of disclosure under 37 C.F.R. §1.56 and §1.97(a)-(d), Applicants request that the following reference be considered and made of record in the above-identified:

1. U.S. Patent No. 6,043,283, issued March 28, 2000, Giulian, D.
2. U.S. Serial No. 10/267,217, filed October 7, 2002.
3. Davis, B.A. and Boulton, A.A., "The Trace Amines and Their Acidic Metabolites in Depression-An Overview", *Prog. Neuro-Pharmacol Bio. Psychiat.* (1994) 18: 17-45.

These references are also listed on the attached Form PTO-1449 (**Exhibit A**) and copies of these references are attached hereto as **Exhibits B-D**, respectively.

A first Office Action has not been received in connection with the subject application. Accordingly, pursuant to 37 C.F.R.

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§1.97(b)(3), applicant believes that the present Information Disclosure Statement is timely filed and no fee or certification is due or required.

However, in the event that a first Office Action has been mailed but has not yet been received by applicant or connected with the application file in the undersigned attorney's office, applicant hereby request, pursuant to 37 C.F.R. §1.97(c)(2), consideration of this Information Disclosure Statement, and authorization is hereby given to charge to Deposit Account No. 03-3125 ONE HUNDRED AND EIGHTY DOLLARS (\$180.00), which is the amount of the fee under 37 C.F.R. §1.17(p) for filing an Information Disclosure Statement pursuant to 37 C.F.R. §1.97(c)(2).

Applicant maintains that the subject invention is novel and nonobvious over the teachings disclosed in the references listed above. Accordingly, applicant maintains that the above-listed references do not disclose or suggest the invention claimed in the subject application.

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If a telephone conference would be of assistance in advancing the prosecution of the subject application, applicant's undersigned attorney invites the Examiner to telephone him at the number provided below.

Respectfully submitted,

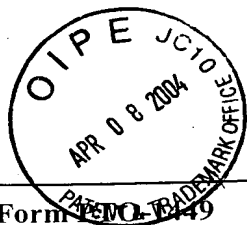
I hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to:

Commissioner for Patents  
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*John P. White* 4/6/04

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Registration No. 28,678

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Form <b>PTOL-749</b>	<b>U.S. Department of Commerce Patent and Trademark Office</b>	Atty. Docket No. 59338-B-PCT- US/JPW/MJW	Serial No. 09/980,145
<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)		Applicants Beth E. Borowsky et al.	
		Filing Date November 28, 2001	Group Art Unit

**U.S. PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
	6 0 4 3 2 8 3	March 28, 2000	Giulian, D. (Exhibit B)			

**FOREIGN PATENT DOCUMENTS**

	Document Number	Date	Country	Class	Subclass	Translation	
						Yes	No

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

	U.S. Serial No. 10/267,217, filed October 7, 2002 (Exhibit C)
	Davis, B.A. and Boulton, A.A., "The Trace Amines and Their Acidic Metabolites in Depression - An Overview", <i>Prog. Neuro-Pharmacol Bio. Psychiat.</i> (1994) <b>18</b> : 17-45. (Exhibit D)

EXAMINER	DATE CONSIDERED
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**\*EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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EXHIBIT A